COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN: 1131988190A1

ORGANIZATION:
RFCUNY - City College
230 West 41st Street
New York, NY 10036-

DATE: 04/02/2014

FILING REF.: The preceding agreement was dated 05/05/2010

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: Facilities And Administrative Cost Rates

RATE TYPES: FIXED FINAL PROV. (PROVISIONAL) PRED. (PREDETERMINED)

	EFFECTIVE PERIOD				
TYPE	FROM	TO	RATE(%)	LOCATION	APPLICABLE TO
PRED.	07/01/2013	06/30/2014	53.00	On-Campus	Research
PRED.	07/01/2014	06/30/2015	54.00	On-Campus	Research
PRED.	07/01/2015	06/30/2016	56.00	On-Campus	Research
PRED.	07/01/2016	06/30/2017	57.00	On-Campus	Research
PRED.	07/01/2013	06/30/2014	41.20	On-Campus	Other Sponsored Programs
PRED.	07/01/2014	06/30/2017	45.00	On-Campus	Other Sponsored Programs
PRED.	07/01/2013	06/30/2014	7.50	All	IPA(Special Remarks#5)
PRED.	07/01/2014	06/30/2017	7.00	All	IPA(Special Remarks#5)
PRED.	07/01/2013	06/30/2017	26.00	Off-Campus	All Programs
PROV.	07/01/2017	Until Amended			Use same rates and conditions as those cited for fiscal year ending June 30, 2017.

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*BASE

Total direct costs excluding capital expenditures (buildings, individual items of equipment; alterations and renovations), that portion of each subaward in excess of $25,000; hospitalization and other fees associated with patient care whether the services are obtained from an owned, related or third party hospital or other medical facility; rental/maintenance of off-site activities; student tuition remission and student support costs (e,g., student aid, stipends, dependency allowances, scholarships, fellowships).

SECTION II: SPECIAL REMARKS

NA

TREATMENT OF PAID ABSENCES

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

1. These indirect cost rates apply when grants or contracts are awarded jointly to the Research Foundation of City University of New York and City College.

2. An off-campus site is one which does not benefit from either the building or equipment of the City University. Projects are not apportioned between their on-site and off-site components. If 50% or more of the indirect cost base costs of the project are determined to be on-site, the entire project is considered on-site. If less then 50% of the indirect cost rate base costs are determined to be on-site, the entire project is considered off-site.

3. Treatment of Fringe Benefits: Fringe benefits applicable to direct salaries and wages are treated as direct costs.

4. Equipment means an article of nonexpendable, tangible personal property having a useful life of more than one year, and an acquisition cost of $5,000 or more per unit.

5. This rate applies to positions covered under the Intergovernmental Personnel Act (IPA) Mobility Program. This rate includes the applicable administrative costs only.

ORGANIZATION: RFCUNY - City College

AGREEMENT DATE: 4/2/2014

SECTION III: GENERAL

A. LIMITATIONS:

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted; such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCOUNTING CHANGES:

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES:

If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USE BY OTHER FEDERAL AGENCIES:

The rates in this Agreement were approved in accordance with the authority in Office of Management and Budget Circular A-21, and should be applied to grants, contracts and other agreements covered by this Circular, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

E. OTHER:

If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of facilities and administrative costs allocable to these programs.

BY THE INSTITUTION:

RFCUNY - City College

(INSTITUTION)

Edward Kalaydjian

(SIGNATURE)

EdWard Kalaydjian

(NAME)

CFO

(TITLE)

4/7/14

(DATE)

ON BEHALF OF THE FEDERAL GOVERNMENT:

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)

Darryl W. Mayes

(SIGNATURE)

Darryl W. Mayes

(NAME)

Deputy Director, Division of Cost Allocation

(TITLE)

4/2/2014

(DATE) 0110

HHS REPRESENTATIVE: Michael Leonard

Telephone: (212) 264-2069

Components of Published Facilities and Administrative Cost Rate

Institution: RFCUNY - City College
FY Covered by Rate: Fiscal Years Ending 6/30/14 to 6/30/17
Predetermined Rates

Rate Component	FY 14	FY 15	FY 16	FY 17
1. a. Depreciation - Bldgs & Improvements	5.00%	5.96%	7.86%	8.86%
b. Depreciation - Equipment	1.95%	1.95%	1.95%	1.95%
2. Interest	0.00%	0.00%	0.00%	0.00%
3. Operation & Maintenance	19.36%	19.40%	19.50%	19.50%
4. General Administration	0.00%	0.00%	0.00%	0.00% *
5. Departmental Administration	26.00%	26.00%	26.00%	26.00% *
6. Sponsored Projects Administration	0.00%	0.00%	0.00%	0.00% *
7. Library	0.69%	0.69%	0.69%	0.69%
8. Other	0.00%	0.00%	0.00%	0.00%
Published Rate - Research (On-Campus)	**53.0%**	**54.0%**	**56.0%**	**57.0%**

* Reflects provisions of revised OMB Circular A-21, Sections G.8.a and G.10. dated May 8, 1996.

Name : Edward Kalaydjian

Title: CFO

Date: 4/7/14

Components of Published Facilities and Administrative Cost Rate

Institution: RFCUNY - City College
FY Covered by Rate: Fiscal Years Ending 6/30/14 to 6/30/17
Predetermined Rates

Rate Component	FY 14	FY 15-17
1. a. Depreciation - Bldgs & Improvements	4.56%	4.56%
b. Depreciation - Equipment	0.61%	0.61%
2. Interest	0.00%	0.00%
3. Operation & Maintenance	7.03%	8.75%
4. General Administration	0.00%	0.00% *
5. Departmental Administration	26.00%	26.00% *
6. Sponsored Projects Administration	0.00%	0.00% *
7. Library	3.00%	5.08%
8. Other	0.00%	0.00%
Published Rate - Other Spons. Programs (On-Campus)	**41.2%**	**45.0%**

* Reflects provisions of revised OMB Circular A-21, Sections G.8.a and G.10. dated May 8, 1996.

Name : Edward Kalaydjian

Title: CFO

Date: 4/7/14

Components of Published Facilities and Administrative Cost Rate

Institution: **RFCUNY - City College**
FY Covered by Rate: **Fiscal Years Ending 6/30/14 to 6/30/17**
Predetermined Rates

Rate Component	FY 14-17
1. a. Depreciation - Bldgs & Improvements	0.00%
b. Depreciation - Equipment	0.00%
2. Interest	0.00%
3. Operation & Maintenance	0.00%
4. General Administration	0.00% *
5. Departmental Administration	26.00% *
6. Sponsored Projects Administration	0.00% *
7. Library	0.00%
8. Other	0.00%
Published Rate - All Programs (Off-Campus)	**26.0%**

* Reflects provisions of revised OMB Circular A-21, Sections G.8.a and G.10. dated May 8, 1996.

Name : Edward Kalaydjian

Title: CFO

Date: 4/7/14

Components of Published Facilities and Administrative Cost Rate